

DEPARTMENT OF TRANSPORTATION
DIVISION OF TRAFFIC OPERATIONS
P.O. BOX 942873, MS-36
SACRAMENTO, CA 94273-0001
PHONE (916) 654-2366
FAX (916) 653-6080
TTY 711
www.dot.ca.gov/trafficops



*Making Conservation
a California Way of Life.*

December 14, 2017

Mr. Edward D. Reiskin
Director of Transportation
San Francisco Municipal Transportation Agency (SFMTA)
1 South Van Ness Ave., 7th Floor
San Francisco, CA 94103

Dear Mr. Reiskin:

This letter serves as the Corrective Action Resolution (CAR) for the audit findings in the Office of State Audits and Evaluations Report dated September 27, 2017. The audit was conducted on behalf of the California Department of Transportation (Caltrans) Proposition 1B Traffic Light Synchronization Program (TLSP) for the following SFMTA TLSP project:

Project #0400000116	P #2530-0045
Project Name: Franklin, Gough, and Polk Streets	

For detailed information please see the attached table, "SFMTA Audit Findings, Recommendations and Responses—December 2017."

After a careful analysis of the approved baseline agreement, project invoices and SFMTA's responses to the audit findings and recommendations, Caltrans has determined that SFMTA has met its obligation for the project listed in this audit and no further action is needed. Please keep this notice on file for your records.

For questions regarding this CAR, please contact Rex Cluff, TLSP Program Coordinator, Office of Strategic Development at (916) 651-9059, or by e-mail sent to rex.cluff@dot.ca.gov.

Sincerely,

ORIGINAL SIGNED BY:

ORIGINAL SIGNED BY:

JASVINDERJIT S. BHULLAR, Chief
Division of Traffic Operations

RIHUI ZHANG, Chief
Division of Local Assistance

Mr. Edward Reiskin

December 14, 2017

Page 2

Attachment:

SFMTA Audit Findings, Recommendations and Responses—December 2017

- c: Stephen Maller, Principal Transportation Engineer, California Transportation Commission
- Rick Guevel, Assistant Deputy Director, California Transportation Commission
- Luisa Ruvalcaba, Senior Management Auditor, Division of Audits and Investigations
- Nicholas Compin, Chief, Office of Strategic Development, Division of Traffic Operations
- Mitchell Prevost, Traffic Light Synchronization Program, Program Manager,
Office of Strategic Development, Division of Traffic Operations
- Rex A. Cluff, Traffic Light Synchronization Program, Program Coordinator,
Office of Strategic Development, Division of Traffic Operations
- Doris Alkebulan, Proposition 1B Bond Specialist, Division of Transportation Programming

**SFMTA AUDIT FINDINGS, RECOMMENDATIONS, AND RESPONSES
DECEMBER 2017**

	FINDINGS	RECOMMENDATIONS	SFMTA RESPONSES
Finding #1	<p>Incomplete Project Information Reported on the Final Delivery Report.</p> <p>“The project deliverables required an upgrade to the traffic signal communications infrastructure on three streets: Franklin, Gough and Polk Streets. However, their Final Delivery Report did not include the project completion, funding sources, and associated costs for Polk Street. SFMTA stated it was unsure how to report the completion of the Polk Street section of the project since it was funded with federal funds rather than Proposition 1B funds. Although Polk Street was completed with federal funds, Caltrans requires the Final Delivery Report to include the completion, funding sources, and associated costs for the entire project.” Audit Report No. 17-2660-070, pg. 3.</p>	<ul style="list-style-type: none"> a. Communicate with Caltrans to resolve any reporting questions prior to submitting Final Delivery Reports. b. Submit a Supplemental Final Delivery Report listing the project completion, funding sources, and associated costs for Franklin, Gough, and Polk Streets. 	<ul style="list-style-type: none"> a. San Francisco submitted a Final Delivery Report on July 5, 2017 showing the complete funding detail for all three streets. b. In September, 2017, the Audit Committee rejected that report stating that it was a Revised FDR instead of a Supplemental FDR. c. On November 1, 2017, SFMTA submitted a Supplemental Final Delivery Report which meets the Audit’s requirements.