

Memorandum

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To: ALICE M. LEE
Chief, External Audit - Contracts
Audits and Investigations

Date: April 23, 2018

File: P2510-0007
P2510-0008
P2510-0009
P2505-0082
P2505-0092

Originally Signed By:

From: AMARJEET S. BENIPAL
District Director
District 3

Subject: CORRECTIVE ACTION RESOLUTION (CAR) – DISTRICT 3 PROP 1B AUDIT

In March, the California Department of Finance issued a Final Proposition 1B Audit Report for the five California Department of Transportation (Caltrans) District 3 projects listed below:

<u>EFIS ID</u>	<u>P Number</u>	<u>EA</u>	<u>Project Name</u>
0300020583	P2505-0085	03-33382	Lincoln Bypass Phase 2A
0300020155	P2505-0092	03-3797U	I-80 HOV Lane Across the Top
0300000206	P2510-0007	03-1A43A	Sutter 99 Segment 2
0300020246	P2510-0008	03-1A464	State Route 99/113 Interchange
0300000443	P2510-0009	03-3A042	Butte State Route 99 Chico Auxiliary Lanes Phase II

The audit disclosed the following findings:

- The project benefits/outcomes were not supported or achieved for projects 0300020583, 030000206, 0300020246, and 0300000443.
- The Final Delivery Report for project 0300020246 was not submitted timely.

I am submitting this memo as a Corrective Action Resolution (CAR) to document the steps that District 3 has taken in response to the audit findings.

Supplemental Final Delivery Reports, based on new benefit cost analyses, were submitted on March 29, 2018 to document the benefits/outcomes for projects 0300020583, 030000206, 0300020246, and 0300000443.

District 3 tracking processes have been revised to ensure that documentation to support benefits is maintained in a central location so that future reports will be accurate and submitted in a timely manner. All future Final Delivery Reports will address all expected project benefits/outcomes and will have comparable pre and post metrics.

Please contact Tom Brannon at (530)740-4846 or Tom.Brannon@dot.ca.gov if you have questions or need additional information.

Alice M. Lee
April 23, 2018
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cc: Stephen Maller, Deputy Director, California Transportation Commission
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